

The Chemistry Maths Book

Erich Steiner

University of Exeter

Second Edition 2008

Solutions

Chapter 19 The matrix eigenvalue problem

- 19.1 Concepts
- 19.2 The eigenvalue problem
- 19.3 Properties of the eigenvectors
- 19.4 Matrix diagonalization
- 19.5 Quadratic forms
- 19.6 Complex matrices

Section 19.1

Find the inverse of the matrix of the coefficients, and use it to solve the equations:

1. $2x - 3y = 8$

$4x + y = 2$

Let $\mathbf{Ax} = \mathbf{b}$ where $\mathbf{A} = \begin{pmatrix} 2 & -3 \\ 4 & 1 \end{pmatrix}$, $\mathbf{x} = \begin{pmatrix} x \\ y \end{pmatrix}$, $\mathbf{b} = \begin{pmatrix} 8 \\ 2 \end{pmatrix}$

Then $\det \mathbf{A} = 14$, $\hat{\mathbf{A}} = \begin{pmatrix} 1 & 3 \\ -4 & 2 \end{pmatrix} \rightarrow \mathbf{A}^{-1} = \frac{1}{14} \begin{pmatrix} 1 & 3 \\ -4 & 2 \end{pmatrix}$ (Exercise 47 of Chapter 18)

Therefore, by equation (19.4),

$$\mathbf{x} = \mathbf{A}^{-1}\mathbf{b} \rightarrow \begin{pmatrix} x \\ y \end{pmatrix} = \frac{1}{14} \begin{pmatrix} 1 & 3 \\ -4 & 2 \end{pmatrix} \begin{pmatrix} 8 \\ 2 \end{pmatrix} = \frac{1}{14} \begin{pmatrix} 14 \\ -28 \end{pmatrix} = \begin{pmatrix} 1 \\ -2 \end{pmatrix}$$

2. $\begin{cases} x + y + z = 6 \\ x + 2y + 3z = 14 \\ x + 4y + 9z = 36 \end{cases} \rightarrow \mathbf{A} = \begin{pmatrix} 1 & 1 & 1 \\ 1 & 2 & 3 \\ 1 & 4 & 9 \end{pmatrix}$, $\mathbf{x} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$, $\mathbf{b} = \begin{pmatrix} 6 \\ 14 \\ 36 \end{pmatrix}$

Then $\det \mathbf{A} = 2$, $\hat{\mathbf{A}} = \begin{pmatrix} 6 & -5 & 1 \\ -6 & 8 & -2 \\ 2 & -3 & 1 \end{pmatrix} \rightarrow \mathbf{A}^{-1} = \frac{1}{2} \begin{pmatrix} 6 & -5 & 1 \\ -6 & 8 & -2 \\ 2 & -3 & 1 \end{pmatrix}$

and $\mathbf{x} = \mathbf{A}^{-1}\mathbf{b} \rightarrow \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 6 & -5 & 1 \\ -6 & 8 & -2 \\ 2 & -3 & 1 \end{pmatrix} \begin{pmatrix} 6 \\ 14 \\ 36 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 2 \\ 4 \\ 6 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix}$

3. $\begin{cases} w + x + z = 2 \\ x + y + z = 6 \\ w + y + z = 3 \\ w + x + y = 4 \end{cases} \rightarrow \mathbf{A} = \begin{pmatrix} 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 \\ 1 & 1 & 1 & 0 \end{pmatrix}$, $\mathbf{x} = \begin{pmatrix} w \\ x \\ y \\ z \end{pmatrix}$, $\mathbf{b} = \begin{pmatrix} 2 \\ 6 \\ 3 \\ 4 \end{pmatrix}$

Then $\det \mathbf{A} = -3$, $\hat{\mathbf{A}} = \begin{pmatrix} 1 & -2 & 1 & 1 \\ 1 & 1 & -2 & 1 \\ -2 & 1 & 1 & 1 \\ 1 & 1 & 1 & -2 \end{pmatrix} \rightarrow \mathbf{A}^{-1} = -\frac{1}{3} \begin{pmatrix} 1 & -2 & 1 & 1 \\ 1 & 1 & -2 & 1 \\ -2 & 1 & 1 & 1 \\ 1 & 1 & 1 & -2 \end{pmatrix}$

and $\mathbf{x} = \mathbf{A}^{-1}\mathbf{b} \rightarrow \begin{pmatrix} w \\ x \\ y \\ z \end{pmatrix} = -\frac{1}{3} \begin{pmatrix} 1 & -2 & 1 & 1 \\ 1 & 1 & -2 & 1 \\ -2 & 1 & 1 & 1 \\ 1 & 1 & 1 & -2 \end{pmatrix} \begin{pmatrix} 2 \\ 6 \\ 3 \\ 4 \end{pmatrix} = \begin{pmatrix} -1 \\ 2 \\ 3 \\ 1 \end{pmatrix}$

Section 19.2

Find the eigenvalues and eigenvectors of the following matrices:

4. $\mathbf{A} = \begin{pmatrix} 2 & 2 \\ 1 & 3 \end{pmatrix}$

The secular equations are

$$\begin{aligned} (2-\lambda)x + 2y &= 0 \\ x + (3-\lambda)y &= 0 \end{aligned}$$

The characteristic equation of $\mathbf{A}$ is

$$\begin{aligned} \det(\mathbf{A} - \lambda \mathbf{I}) = 0 \quad \rightarrow \quad \begin{vmatrix} 2-\lambda & 2 \\ 1 & 3-\lambda \end{vmatrix} &= (2-\lambda)(3-\lambda) - 2 = \lambda^2 - 5\lambda + 4 \\ &= (\lambda-1)(\lambda-4) = 0 \quad \text{when } \lambda = 1, \lambda = 4 \end{aligned}$$

The eigenvalues of $\mathbf{A}$ are therefore $\lambda_1 = 1, \lambda_2 = 4$. The corresponding eigenvectors are obtained

by solving the secular equations for each value of λ . Thus, using the first of the equations,

$$\begin{aligned} \lambda = \lambda_1 = 1: (2-\lambda_1)x + 2y = x + 2y = 0 \quad \rightarrow \quad x = -2y \quad \rightarrow \quad \mathbf{x}_1 &= y \begin{pmatrix} -2 \\ 1 \end{pmatrix} \\ \lambda = \lambda_2 = 4: (2-\lambda_2)x + 2y = -2x + 2y = 0 \quad \rightarrow \quad x = y \quad \rightarrow \quad \mathbf{x}_2 &= y \begin{pmatrix} 1 \\ 1 \end{pmatrix} \end{aligned}$$

5. $\mathbf{A} = \begin{pmatrix} 2 & 0 \\ 0 & -3 \end{pmatrix}$

The characteristic equation of $\mathbf{A}$ is

$$\begin{vmatrix} 2-\lambda & 0 \\ 0 & -3-\lambda \end{vmatrix} = -(2-\lambda)(3+\lambda) = 0 \quad \text{when } \lambda = 2, \lambda = -3$$

The secular equations are

$$\begin{aligned} (2-\lambda)x &= 0 \\ (-3-\lambda)y &= 0 \end{aligned}$$

$$\begin{aligned} \text{Then } \lambda = \lambda_1 = 2: y = 0 \quad \rightarrow \quad \mathbf{x}_1 &= x \begin{pmatrix} 1 \\ 0 \end{pmatrix} \\ \lambda = \lambda_2 = -3: x = 0 \quad \rightarrow \quad \mathbf{x}_2 &= y \begin{pmatrix} 0 \\ 1 \end{pmatrix} \end{aligned}$$

6. $\begin{pmatrix} 3 & 1 \\ -1 & 3 \end{pmatrix}$

The secular equations are

$$\begin{aligned} (3-\lambda)x + y &= 0 \\ -x + (3-\lambda)y &= 0 \end{aligned}$$

The characteristic equation is

$$\begin{aligned} \begin{vmatrix} 3-\lambda & 1 \\ -1 & 3-\lambda \end{vmatrix} &= (3-\lambda)^2 + 1 = \lambda^2 - 6\lambda + 10 \\ &= 0 \text{ when } \lambda = \frac{6 \pm \sqrt{36-40}}{2} = 3 \pm i \end{aligned}$$

Then $\lambda = \lambda_1 = 3 - i: ix + y = 0 \rightarrow y = -ix \rightarrow \mathbf{x}_1 = x \begin{pmatrix} 1 \\ -i \end{pmatrix}$

$\lambda = \lambda_2 = 3 + i: -ix + y = 0 \rightarrow y = ix \rightarrow \mathbf{x}_2 = x \begin{pmatrix} 1 \\ i \end{pmatrix}$

7. $\begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$

The secular equations are

$$\begin{aligned} (3-\lambda)x + y &= 0 \\ x + (3-\lambda)y &= 0 \end{aligned}$$

The characteristic equation is

$$\begin{aligned} \begin{vmatrix} 3-\lambda & 1 \\ 1 & 3-\lambda \end{vmatrix} &= (3-\lambda)^2 - 1 = (\lambda-2)(\lambda-4) \\ &= 0 \text{ when } \lambda = 2, \lambda = 4 \end{aligned}$$

Then $\lambda = \lambda_1 = 2: x + y = 0 \rightarrow y = -x \rightarrow \mathbf{x}_1 = x \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

$\lambda = \lambda_2 = 4: -x + y = 0 \rightarrow y = x \rightarrow \mathbf{x}_2 = x \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

8.
$$\begin{pmatrix} 1 & 2 & 0 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}$$

The secular equations are

$$\begin{aligned} (1) \quad & (1-\lambda)x + 2y = 0 \\ (2) \quad & 2x + (1-\lambda)y = 0 \\ (3) \quad & 2y + (1-\lambda)z = 0 \end{aligned}$$

The characteristic equation of is

$$\begin{vmatrix} 1-\lambda & 2 & 0 \\ 2 & 1-\lambda & 0 \\ 0 & 2 & 1-\lambda \end{vmatrix} = (1-\lambda)[(1-\lambda)^2 - 4] = (1-\lambda)(\lambda+1)(\lambda-3) = 0 \text{ when } \lambda = -1, +1, +3$$

Then $\lambda = \lambda_1 = -1$:
$$\left. \begin{aligned} (1) \quad & 2x + 2y = 0 \rightarrow y = -x \\ (3) \quad & 2y + 2z = 0 \rightarrow z = -y = x \end{aligned} \right\} \rightarrow \mathbf{x}_1 = x \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$$

$\lambda = \lambda_2 = +1$:
$$\left. \begin{aligned} (1) \quad & 2y = 0 \rightarrow y = 0 \\ (2) \quad & 2x = 0 \rightarrow x = 0 \end{aligned} \right\} \rightarrow \mathbf{x}_2 = z \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$\lambda = \lambda_3 = +3$:
$$\left. \begin{aligned} (1) \quad & -2x + 2y = 0 \rightarrow y = x \\ (3) \quad & 2y - 2z = 0 \rightarrow z = y = x \end{aligned} \right\} \rightarrow \mathbf{x}_3 = x \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

9.
$$\begin{pmatrix} 0 & 3 & 0 \\ 3 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$$

The secular equations are

$$\begin{aligned} (1) \quad & -\lambda x + 3y = 0 \\ (2) \quad & 3x + -\lambda y + 3z = 0 \\ (3) \quad & 3y + -\lambda z = 0 \end{aligned}$$

The characteristic equation is

$$\begin{vmatrix} -\lambda & 3 & 0 \\ 3 & -\lambda & 3 \\ 0 & 3 & -\lambda \end{vmatrix} = -\lambda(\lambda^2 - 18) = 0 \text{ when } \lambda = 0, \pm 3\sqrt{2}$$

$\lambda = \lambda_1 = 0$:
$$\left. \begin{aligned} (1) \quad & 3y = 0 \rightarrow y = 0 \\ (2) \quad & 3x + 3z = 0 \rightarrow z = -x \end{aligned} \right\} \rightarrow \mathbf{x}_1 = x \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

$\lambda = \lambda_2 = +3\sqrt{2}$:
$$\left. \begin{aligned} (1) \quad & -3\sqrt{2}x + 3y = 0 \rightarrow y = \sqrt{2}x \\ (3) \quad & 3y - 3\sqrt{2}z = 0 \rightarrow z = y/\sqrt{2} = x \end{aligned} \right\} \rightarrow \mathbf{x}_2 = x \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}$$

$\lambda = \lambda_3 = -3\sqrt{2}$:
$$\left. \begin{aligned} (1) \quad & 3\sqrt{2}x + 3y = 0 \rightarrow y = -\sqrt{2}x \\ (3) \quad & 3y + 3\sqrt{2}z = 0 \rightarrow z = -y/\sqrt{2} = x \end{aligned} \right\} \rightarrow \mathbf{x}_3 = x \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

10. $\mathbf{A} = \begin{pmatrix} 3 & 4 \\ -4 & -5 \end{pmatrix}$

The secular equations are

$$\begin{aligned} (3-\lambda)x + 4y &= 0 \\ -4x + (-5-\lambda)y &= 0 \end{aligned}$$

The characteristic equation is

$$\begin{vmatrix} 3-\lambda & 4 \\ -4 & -5-\lambda \end{vmatrix} = \lambda^2 + 2\lambda + 1 = (\lambda+1)^2 = 0 \text{ when } \lambda = -1 \text{ (double)}$$

Then $\lambda = -1$: $(3-\lambda)x + 4y = 4x + 4y = 0 \rightarrow y = -x \rightarrow \mathbf{x} = x \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

and the matrix has only one eigenvector corresponding to the doubly-degenerate eigenvalue.

11. $\begin{pmatrix} 4 & 0 & 2 \\ -6 & 1 & -4 \\ -6 & 0 & -3 \end{pmatrix}$

The secular equations are

$$\begin{aligned} (1) \quad (4-\lambda)x + 2z &= 0 \\ (2) \quad -6x + (1-\lambda)y - 4z &= 0 \\ (3) \quad -6x + (-3-\lambda)z &= 0 \end{aligned}$$

The characteristic equation is

$$\begin{vmatrix} 4-\lambda & 0 & 2 \\ -6 & 1-\lambda & -4 \\ -6 & 0 & -3-\lambda \end{vmatrix} = \lambda(1-\lambda)^2 = 0 \text{ when } \lambda = 0, \lambda = 1 \text{ (double)}$$

Then $\lambda = \lambda_1 = 0$: $\left. \begin{aligned} (1) \quad 4x + 2z = 0 &\rightarrow z = -2x \\ (2) \quad -6x + y - 4z &\rightarrow y = 6x + 4z = -2x \end{aligned} \right\} \rightarrow \mathbf{x}_1 = x \begin{pmatrix} 1 \\ -2 \\ -2 \end{pmatrix}$

but $\lambda = 1$: $3x + 2z = 0 \rightarrow z = -3x/2$

from all three equations, so that y is not determined for the degenerate eigenvalue. If $y = ax$ then

$$\mathbf{x}_1 = x \begin{pmatrix} 1 \\ a \\ -3/2 \end{pmatrix}, \text{ all } a \text{ (and } x).$$

Section 19.3

Normalize the eigenvectors obtained in

12. Exercise 5

$$\mathbf{x}_1 = x \begin{pmatrix} 1 \\ 0 \end{pmatrix}: \mathbf{x}_1^T \mathbf{x}_1 = x^2 \begin{pmatrix} 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = x^2 = 1 \text{ when } x = 1 \rightarrow \mathbf{x}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$\mathbf{x}_2 = y \begin{pmatrix} 0 \\ 1 \end{pmatrix}: \mathbf{x}_2^T \mathbf{x}_2 = y^2 \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = y^2 = 1 \text{ when } y = 1 \rightarrow \mathbf{x}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

13. Exercise 7

$$\mathbf{x}_1 = x \begin{pmatrix} 1 \\ -1 \end{pmatrix}: \mathbf{x}_1^T \mathbf{x}_1 = x^2 \begin{pmatrix} 1 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = 2x^2 = 1 \text{ when } x = 1/\sqrt{2} \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

$$\mathbf{x}_2 = x \begin{pmatrix} 1 \\ 1 \end{pmatrix}: \mathbf{x}_2^T \mathbf{x}_2 = x^2 \begin{pmatrix} 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = 2x^2 = 1 \text{ when } x = 1/\sqrt{2} \rightarrow \mathbf{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

14. Exercise 8

$$\mathbf{x}_1 = x \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}: \mathbf{x}_1^T \mathbf{x}_1 = x^2 \begin{pmatrix} 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix} = 3x^2 = 1 \text{ when } x = 1/\sqrt{3} \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}$$

$$\mathbf{x}_2 = z \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}: \mathbf{x}_2^T \mathbf{x}_2 = z^2 \begin{pmatrix} 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = z^2 = 1 \text{ when } z = 1 \rightarrow \mathbf{x}_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

$$\mathbf{x}_3 = x \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}: \mathbf{x}_3^T \mathbf{x}_3 = x^2 \begin{pmatrix} 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = 3x^2 = 1 \text{ when } x = 1/\sqrt{3} \rightarrow \mathbf{x}_3 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

15. Exercise 9

$$\mathbf{x}_1 = x \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}: \mathbf{x}_1^T \mathbf{x}_1 = x^2 \begin{pmatrix} 1 & 0 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} = 2x^2 = 1 \text{ when } x = 1/\sqrt{2} \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$$

$$\mathbf{x}_2 = x \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}: \mathbf{x}_2^T \mathbf{x}_2 = x^2 \begin{pmatrix} 1 & \sqrt{2} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix} = 4x^2 = 1 \text{ when } x = 1/2 \rightarrow \mathbf{x}_2 = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}$$

$$\mathbf{x}_3 = x \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}: \mathbf{x}_3^T \mathbf{x}_3 = x^2 \begin{pmatrix} 1 & -\sqrt{2} & 1 \end{pmatrix} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix} = 4x^2 = 1 \text{ when } x = 1/2 \rightarrow \mathbf{x}_3 = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

Show that the sets of eigenvectors of the symmetric matrices are orthogonal in

16. Exercise 5 (and 12)

$$\mathbf{x}_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \mathbf{x}_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \rightarrow \mathbf{x}_1^T \mathbf{x}_2 = (1 \ 0) \begin{pmatrix} 0 \\ 1 \end{pmatrix} = 0 + 0 = 0$$

17. Exercise 7 (and 13)

$$\mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}, \mathbf{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \rightarrow \mathbf{x}_1^T \mathbf{x}_2 = \frac{1}{2} (1 \ -1) \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \frac{1}{2} (1-1) = 0$$

18. Exercise 9 (and 15)

$$\begin{aligned} \mathbf{x}_1 &= \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}, \mathbf{x}_2 = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}, \mathbf{x}_3 = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix} \\ \rightarrow \mathbf{x}_1^T \mathbf{x}_2 &= \frac{1}{2\sqrt{2}} (1 \ 0 \ -1) \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix} = \frac{1}{2\sqrt{2}} (1+0-1) = 0 \\ \rightarrow \mathbf{x}_1^T \mathbf{x}_3 &= \frac{1}{2\sqrt{2}} (1 \ 0 \ -1) \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix} = \frac{1}{2\sqrt{2}} (1+0-1) = 0 \\ \rightarrow \mathbf{x}_2^T \mathbf{x}_3 &= \frac{1}{4} (1 \ \sqrt{2} \ 1) \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix} = \frac{1}{4} (1-2+1) = 0 \end{aligned}$$

19. Given the three vectors

$$\mathbf{x}_1 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \mathbf{x}_2 = \begin{pmatrix} 3 \\ 1 \\ 2 \end{pmatrix}, \mathbf{x}_3 = \begin{pmatrix} 3 \\ 2 \\ 1 \end{pmatrix},$$

use Schmidt orthogonalization to **(i)** find new vectors $\mathbf{x}_2'$ and $\mathbf{x}_3'$ that are orthogonal to $\mathbf{x}_1$,

(ii) find the new vector $\mathbf{x}_3''$ that is orthogonal to both $\mathbf{x}_1$ and $\mathbf{x}_2'$.

$$\begin{aligned} \text{(i) We have } \mathbf{x}_1^T \mathbf{x}_1 &= (1 \ 1 \ 1) \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = 3 \\ \mathbf{x}_1^T \mathbf{x}_2 &= (1 \ 1 \ 1) \begin{pmatrix} 3 \\ 1 \\ 2 \end{pmatrix} = 6 \\ \mathbf{x}_1^T \mathbf{x}_3 &= (1 \ 1 \ 1) \begin{pmatrix} 3 \\ 2 \\ 1 \end{pmatrix} = 6 \end{aligned} \rightarrow \frac{\mathbf{x}_1^T \mathbf{x}_2}{\mathbf{x}_1^T \mathbf{x}_1} = 2, \quad \frac{\mathbf{x}_1^T \mathbf{x}_3}{\mathbf{x}_1^T \mathbf{x}_1} = 2$$

Therefore $\mathbf{x}'_2 = \mathbf{x}_2 - \frac{\mathbf{x}_1^\top \mathbf{x}_2}{\mathbf{x}_1^\top \mathbf{x}_1} \mathbf{x}_1 = \begin{pmatrix} 3 \\ 1 \\ 2 \end{pmatrix} - 2 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$

and $\mathbf{x}'_3 = \mathbf{x}_3 - \frac{\mathbf{x}_1^\top \mathbf{x}_3}{\mathbf{x}_1^\top \mathbf{x}_1} \mathbf{x}_1 = \begin{pmatrix} 3 \\ 2 \\ 1 \end{pmatrix} - 2 \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}$

are orthogonal to $\mathbf{x}_1$.

(ii) We have $\left. \begin{aligned} (\mathbf{x}'_2)^\top \mathbf{x}'_2 &= (1 \quad -1 \quad 0) \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} = 2 \\ (\mathbf{x}'_2)^\top \mathbf{x}'_3 &= (1 \quad -1 \quad 0) \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} = 1 \end{aligned} \right\} \rightarrow \frac{(\mathbf{x}'_2)^\top \mathbf{x}'_3}{(\mathbf{x}'_2)^\top \mathbf{x}'_2} = \frac{1}{2}$

Therefore $\mathbf{x}''_3 = \mathbf{x}'_3 - \frac{\mathbf{x}'_2^\top \mathbf{x}'_3}{\mathbf{x}'_2^\top \mathbf{x}'_2} \mathbf{x}'_2 = \mathbf{x}'_3 - \frac{1}{2} \mathbf{x}'_2 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix} - \frac{1}{2} \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1/2 \\ 1/2 \\ -1 \end{pmatrix}$

is orthogonal to $\mathbf{x}_1$ and $\mathbf{x}'_2$.

20. The Hückel Hamiltonian matrix of butadiene is

$$\mathbf{H} = \begin{pmatrix} \alpha & \beta & 0 & 0 \\ \beta & \alpha & \beta & 0 \\ 0 & \beta & \alpha & \beta \\ 0 & 0 & \beta & \alpha \end{pmatrix}$$

Find (i) the eigenvalues (in terms of α and β), (ii) the orthonormal eigenvectors. You may find the following relations useful: $\phi = (\sqrt{5} + 1)/2$ (the ‘golden section’, see Section 7.2), $\phi^2 = (\sqrt{5} + 3)/2$, $\phi - 1 = (\sqrt{5} - 1)/2 = 1/\phi$, $\phi^2 - 1 = \phi$, $\phi^2 - \phi = 1$.

(i) The characteristic determinant

$$\begin{vmatrix} \alpha - E & \beta & 0 & 0 \\ \beta & \alpha - E & \beta & 0 \\ 0 & \beta & \alpha - E & \beta \\ 0 & 0 & \beta & \alpha - E \end{vmatrix} = (\alpha - E)^4 - 3\beta^2(\alpha - E)^2 + \beta^4$$

is a quadratic in $(\alpha - E)^2$ and is zero when E is an eigenvalue of the matrix $\mathbf{H}$. Then

$$\begin{aligned} (\alpha - E)^4 - 3(\alpha - E)^2 \beta^2 + \beta^4 &= 0 \text{ when } (\alpha - E)^2 = \frac{3\beta^2 \pm \sqrt{9\beta^4 - 4\beta^4}}{2} = \left(\frac{3 \pm \sqrt{5}}{2} \right) \beta^2 \\ \rightarrow E &= \alpha \pm \left(\frac{3 \pm \sqrt{5}}{2} \right)^{1/2} \beta = \alpha \pm \left(\frac{1 \pm \sqrt{5}}{2} \right) \beta \end{aligned}$$

The eigenvalues are then, in order of increasing value (given that $\beta < 0$),

$$E_1 = \alpha + \left(\frac{1+\sqrt{5}}{2} \right) \beta = \alpha + \phi\beta$$

$$E_2 = \alpha + \left(\frac{1-\sqrt{5}}{2} \right) \beta = \alpha + (\phi-1)\beta$$

$$E_3 = \alpha - \left(\frac{1-\sqrt{5}}{2} \right) \beta = \alpha - (\phi-1)\beta$$

$$E_4 = \alpha - \left(\frac{1+\sqrt{5}}{2} \right) \beta = \alpha - \phi\beta$$

(ii) The secular equations are

$$(1) \quad (\alpha - E)c_1 + \beta c_2 = 0$$

$$(2) \quad \beta c_1 + (\alpha - E)c_2 + \beta c_3 = 0$$

$$(3) \quad \beta c_2 + (\alpha - E)c_3 + \beta c_4 = 0$$

$$(4) \quad \beta c_3 + (\alpha - E)c_4 = 0$$

For $E = E_1 = \alpha + \phi\beta \rightarrow \frac{\alpha - E}{\beta} = -\phi$, and dividing the equations by β ,

$$(1) \quad -\phi c_1 + c_2 = 0 \rightarrow c_2 = \phi c_1$$

$$(2) \quad c_1 - \phi c_2 + c_3 = 0 \rightarrow c_3 = -c_1 + \phi c_2 = (\phi^2 - 1)c_1 = \phi c_1$$

$$(3) \quad c_3 - \phi c_4 = 0 \rightarrow c_4 = c_3/\phi = c_1$$

The eigenvector corresponding to eigenvalue $E_1 = \alpha + \phi\beta$ is therefore

$$\mathbf{x}_1 = c_1 \begin{pmatrix} 1 \\ \phi \\ \phi \\ 1 \end{pmatrix}$$

For normalization, $\mathbf{x}_1^T \mathbf{x}_1 = c_1^2 (1 + \phi^2 + \phi^2 + 1) = 1$ when $c_1 = 1/\sqrt{2(1 + \phi^2)} = 1/\sqrt{2(\phi + 2)}$.

Therefore, putting $C = 1/\sqrt{2(\phi + 2)}$, the normalized eigenvector is

$$\mathbf{x}_1 = C \begin{pmatrix} 1 \\ \phi \\ \phi \\ 1 \end{pmatrix}$$

Similarly for the other eigenvalues,

$$\mathbf{x}_2 = C \begin{pmatrix} \phi \\ 1 \\ -1 \\ -\phi \end{pmatrix}, \quad \mathbf{x}_3 = C \begin{pmatrix} \phi \\ -1 \\ -1 \\ \phi \end{pmatrix}, \quad \mathbf{x}_4 = C \begin{pmatrix} 1 \\ -\phi \\ \phi \\ -1 \end{pmatrix},$$

21. The Hückel Hamiltonian matrix of cyclopropene is

$$\mathbf{H} = \begin{pmatrix} \alpha & \beta & \beta \\ \beta & \alpha & \beta \\ \beta & \beta & \alpha \end{pmatrix}$$

(i) Show that the eigenvalues are $E_1 = \alpha + 2\beta$, $E_2 = E_3 = \alpha - \beta$. **(ii)** Find the normalized eigenvector belonging to eigenvalue E_1 . **(iii)** Show that an eigenvector belonging to the doubly-degenerate eigenvalue $\alpha - \beta$ has components x_1, x_2, x_3 that satisfy $x_1 + x_2 + x_3 = 0$. **(iv)** Find two orthonormal eigenvectors corresponding to eigenvalue $\alpha - \beta$ (you may find the results of Examples 19.4(ii) and 19.8 useful).

(i) The characteristic equation is

$$\begin{vmatrix} \alpha - E & \beta & \beta \\ \beta & \alpha - E & \beta \\ \beta & \beta & \alpha - E \end{vmatrix} = (\alpha - E)^3 - 3\beta^2(\alpha - E) + 2\beta^3$$

$= 0$ when E is an eigenvalue of $\mathbf{H}$.

The characteristic equation is a cubic in E . If the eigenvalues (the roots of the cubic) are $E_1 = \alpha + 2\beta$, $E_2 = E_3 = \alpha - \beta$, the equation can be written as

$$(E_1 - E)(E_2 - E)(E_3 - E) = 0$$

Therefore

$$(\alpha + 2\beta - E)(\alpha - \beta - E)^2 = (\alpha - E)^3 - 3\beta^2(\alpha - E) + 2\beta^3 = 0$$

as required.

(ii) The secular equations are

$$\begin{aligned} (1) \quad & (\alpha - E)x_1 + \beta x_2 + \beta x_3 = 0 \\ (2) \quad & \beta x_1 + (\alpha - E)x_2 + \beta x_3 = 0 \\ (3) \quad & \beta x_1 + \beta x_2 + (\alpha - E)x_3 = 0 \end{aligned}$$

For $E = E_1 = \alpha + 2\beta$

$$\begin{aligned} (1) \quad & -2\beta x_1 + \beta x_2 + \beta x_3 = 0 \\ (2) \quad & \beta x_1 - 2\beta x_2 + \beta x_3 = 0 \\ (3) \quad & \beta x_1 + \beta x_2 - 2\beta x_3 = 0 \end{aligned}$$

Subtract equation (1) from (2) and (1) from (3):

$$\left. \begin{aligned} (2)-(1) \quad & 3\beta x_1 - 3\beta x_2 = 0 \rightarrow x_2 = x_1 \\ (3)-(1) \quad & 3\beta x_1 - 3\beta x_3 = 0 \rightarrow x_3 = x_1 \end{aligned} \right\} \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

(iii) For $E = \alpha - \beta$ all three secular equations reduce to

$$\beta x_1 + \beta x_2 + \beta x_3 = 0$$

Therefore, as for the degenerate eigenvalue in Example 19.4(ii), every independent pair of vectors that satisfies the condition $x_1 + x_2 + x_3 = 0$ is a solution for the degenerate eigenvalue.

Following the procedure described in Examples 19.4(ii), 19.6 and 19.8, a pair of orthonormal eigenvectors belonging to eigenvalue $E = \alpha - \beta$ is

$$\mathbf{x}_2 = \frac{1}{\sqrt{6}} \begin{pmatrix} 1 \\ 1 \\ -2 \end{pmatrix}, \quad \mathbf{x}_3 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \\ 0 \end{pmatrix}$$

22. (i) Show that a square matrix $\mathbf{A}$ and its transpose $\mathbf{A}^T$ have the same set of eigenvalues. **(ii)** Show that the following two equations are equivalent:

$$\mathbf{A}^T \mathbf{y} = \lambda \mathbf{y}, \quad \mathbf{y}^T \mathbf{A} = \lambda \mathbf{y}^T$$

The eigenvectors of $\mathbf{A}^T$ are in general different from those of $\mathbf{A}$ (unless $\mathbf{A}$ is a symmetric). The vector $\mathbf{y}$ is sometimes called a **left-eigenvector** of $\mathbf{A}$, and an 'ordinary' eigenvector $\mathbf{x}$ of $\mathbf{A}$ is then called a **right-eigenvector**.

(iii) Find the eigenvalues and corresponding normalized right- and left-eigenvectors of

$$\mathbf{A} = \begin{pmatrix} 3 & 2 \\ 0 & 2 \end{pmatrix}.$$

(i) By equation (18.19), the secular determinants of $\mathbf{A}$ and its transpose $\mathbf{A}^T$ are the same. The eigenvalues of $\mathbf{A}$ and $\mathbf{A}^T$ are therefore the same.

(ii) By equation (18.41)

$$\mathbf{A}^T \mathbf{y} = \lambda \mathbf{y} \xrightarrow{\text{transpose}} (\mathbf{A}^T \mathbf{y})^T = (\lambda \mathbf{y})^T \rightarrow \mathbf{y}^T \mathbf{A} = \lambda \mathbf{y}^T$$

(iii) The characteristic equation is

$$\begin{vmatrix} 3-\lambda & 2 \\ 0 & 2-\lambda \end{vmatrix} = (3-\lambda)(2-\lambda) = 0 \text{ when } \lambda = 2, 3$$

For the **right-eigenvectors**,

$$\mathbf{A}\mathbf{x} = \lambda\mathbf{x} \rightarrow \begin{pmatrix} 3-\lambda & 2 \\ 0 & 2-\lambda \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = 0 \rightarrow \begin{aligned} (3-\lambda)x + 2y &= 0 \\ (2-\lambda)y &= 0 \end{aligned}$$

$$\text{Then } \lambda = \lambda_1 = 2: x + 2y = 0 \rightarrow x = -2y \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{5}} \begin{pmatrix} -2 \\ 1 \end{pmatrix} \text{ (normalized)}$$

$$\lambda = \lambda_2 = 3: y = 0 \rightarrow \mathbf{x}_2 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

For the **left-eigenvectors**,

$$\mathbf{y}^T \mathbf{A} = \lambda \mathbf{y}^T \rightarrow \begin{pmatrix} x & y \end{pmatrix} \begin{pmatrix} 3-\lambda & 2 \\ 0 & 2-\lambda \end{pmatrix} = 0 \rightarrow \begin{matrix} (3-\lambda)x & = 0 \\ 2x & + (2-\lambda)y = 0 \end{matrix}$$

$$\text{Then } \lambda = \lambda_1 = 2: x = 0 \rightarrow \mathbf{y}_1 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\lambda = \lambda_2 = 3: 2x - y = 0 \rightarrow y = 2x \rightarrow \mathbf{y}_2 = \frac{1}{\sqrt{5}} \begin{pmatrix} 1 \\ 2 \end{pmatrix}$$

Section 19.4

23. For the matrix

$$\mathbf{A} = \begin{pmatrix} 1 & 2 & 0 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}$$

of Exercise 8, construct **(i)** the matrix $\mathbf{X}$ of the eigenvectors and **(ii)** the diagonal matrix $\mathbf{D}$ of the $\lambda = \lambda_2 = +1$ eigenvalues. **(iii)** Show that $\mathbf{AX} = \mathbf{DX}$.

By Exercise 8, the eigenvalues and (unnormalized) eigenvectors of matrix $\mathbf{A}$ are

$$\lambda_1 = -1, \quad \mathbf{x}_1 = \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}; \quad \lambda_2 = 1, \quad \mathbf{x}_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}; \quad \lambda_3 = 3, \quad \mathbf{x}_3 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\text{Then } \mathbf{(i)} \quad \mathbf{X} = \begin{pmatrix} 1 & 0 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} \quad \mathbf{(ii)} \quad \mathbf{D} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix}$$

$$\mathbf{(iii)} \quad \mathbf{AX} = \begin{pmatrix} 1 & 2 & 0 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 3 \\ 1 & 0 & 3 \\ -1 & 1 & 3 \end{pmatrix}$$

$$\mathbf{XD} = \begin{pmatrix} 1 & 0 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 3 \\ 1 & 0 & 3 \\ -1 & 1 & 3 \end{pmatrix}$$

and $\mathbf{AX} = \mathbf{DX}$

24. Repeat Exercise 23 for the matrix $\begin{pmatrix} 0 & 3 & 0 \\ 3 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$ of Exercise 9.

By Exercise 9, the eigenvalues and (unnormalized) eigenvectors of matrix $\mathbf{A}$ are

$$\lambda_1 = 0, \quad \mathbf{x}_1 = \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}; \quad \lambda_2 = 3\sqrt{2}, \quad \mathbf{x}_2 = \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}; \quad \lambda_3 = -3\sqrt{2}, \quad \mathbf{x}_3 = \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

Then (i) $\mathbf{X} = \begin{pmatrix} 1 & 1 & 1 \\ 0 & \sqrt{2} & -\sqrt{2} \\ -1 & 1 & 1 \end{pmatrix}$ (ii) $\mathbf{D} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 3\sqrt{2} & 0 \\ 0 & 0 & -3\sqrt{2} \end{pmatrix}$

$$\text{(iii) } \mathbf{AX} = \begin{pmatrix} 0 & 3 & 0 \\ 3 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ 0 & \sqrt{2} & -\sqrt{2} \\ -1 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 3\sqrt{2} & -3\sqrt{2} \\ 0 & 6 & 6 \\ 0 & 3\sqrt{2} & -3\sqrt{2} \end{pmatrix}$$

$$\mathbf{XD} = \begin{pmatrix} 1 & 1 & 1 \\ 0 & \sqrt{2} & -\sqrt{2} \\ -1 & 1 & 1 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 3\sqrt{2} & 0 \\ 0 & 0 & -3\sqrt{2} \end{pmatrix} = \begin{pmatrix} 0 & 3\sqrt{2} & -3\sqrt{2} \\ 0 & 6 & 6 \\ 0 & 3\sqrt{2} & -3\sqrt{2} \end{pmatrix}$$

and $\mathbf{AX} = \mathbf{DX}$

25. (i) For the matrix $\mathbf{A} = \begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix}$ of Exercise 7, construct the matrix $\mathbf{X}$ of the eigenfunctions of $\mathbf{A}$,

and find its inverse, $\mathbf{X}^{-1}$.

(ii) Calculate $\mathbf{D} = \mathbf{X}^{-1}\mathbf{AX}$ and confirm that $\mathbf{D}$ is the diagonal matrix of the eigenvalues of $\mathbf{A}$.

By Exercise 7, the eigenvalues and normalized eigenvectors of $\mathbf{A}$ are

$$\lambda_1 = 2, \quad \mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}; \quad \lambda_2 = 4, \quad \mathbf{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix};$$

Then (i) $\mathbf{X} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix}$ $\mathbf{X}^{-1} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$ (by equation (18.43))

$$\begin{aligned} \text{(ii) } \mathbf{X}^{-1}\mathbf{AX} &= \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 3 & 1 \\ 1 & 3 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \\ &= \frac{1}{2} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 & 4 \\ -2 & 4 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 4 & 0 \\ 0 & 8 \end{pmatrix} = \begin{pmatrix} 2 & 0 \\ 0 & 4 \end{pmatrix} \\ &= \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} = \mathbf{D} \end{aligned}$$

Repeat Exercise 25 for:

26. $\mathbf{A} = \begin{pmatrix} 2 & 2 \\ 1 & 3 \end{pmatrix}$ of Exercise 4

By Exercise 4, the eigenvalues and eigenvectors of $\mathbf{A}$ are

$$\lambda_1 = 1, \quad \mathbf{x}_1 = \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ -1 \end{pmatrix}; \quad \lambda_2 = 4, \quad \mathbf{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix};$$

Then (i) $\mathbf{X} = \begin{pmatrix} 2/\sqrt{5} & 1/\sqrt{2} \\ -1/\sqrt{5} & 1/\sqrt{2} \end{pmatrix} \quad \mathbf{X}^{-1} = \frac{1}{3} \begin{pmatrix} \sqrt{5} & -\sqrt{5} \\ \sqrt{2} & 2\sqrt{2} \end{pmatrix}$

$$\begin{aligned} \text{(ii) } \mathbf{X}^{-1}\mathbf{A}\mathbf{X} &= \frac{1}{3} \begin{pmatrix} \sqrt{5} & -\sqrt{5} \\ \sqrt{2} & 2\sqrt{2} \end{pmatrix} \begin{pmatrix} 2 & 2 \\ 1 & 3 \end{pmatrix} \begin{pmatrix} 2/\sqrt{5} & 1/\sqrt{2} \\ -1/\sqrt{5} & 1/\sqrt{2} \end{pmatrix} \\ &= \frac{1}{3} \begin{pmatrix} \sqrt{5} & -\sqrt{5} \\ \sqrt{2} & 2\sqrt{2} \end{pmatrix} \begin{pmatrix} 2/\sqrt{5} & 1/\sqrt{2} \\ -1/\sqrt{5} & 1/\sqrt{2} \end{pmatrix} = \frac{1}{3} \begin{pmatrix} 3 & 0 \\ 0 & 12 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 4 \end{pmatrix} \\ &= \begin{pmatrix} \lambda_1 & 0 \\ 0 & \lambda_2 \end{pmatrix} = \mathbf{D} \end{aligned}$$

27. $\mathbf{A} = \begin{pmatrix} 1 & 2 & 0 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}$ of Exercise 8

By Exercise 8, the eigenvalues and (unnormalized) eigenvectors of $\mathbf{A}$ are

$$\lambda_1 = -1, \quad \mathbf{x}_1 = \begin{pmatrix} 1 \\ -1 \\ 1 \end{pmatrix}; \quad \lambda_2 = 1, \quad \mathbf{x}_2 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}; \quad \lambda_3 = 3, \quad \mathbf{x}_3 = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

Then (i) $\mathbf{X} = \begin{pmatrix} 1 & 0 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} \quad \mathbf{X}^{-1} = \frac{1}{2} \begin{pmatrix} 1 & -1 & 0 \\ -2 & 0 & 2 \\ 1 & 1 & 0 \end{pmatrix}$

$$\begin{aligned} \text{(ii) } \mathbf{X}^{-1}\mathbf{A}\mathbf{X} &= \frac{1}{2} \begin{pmatrix} 1 & -1 & 0 \\ -2 & 0 & 2 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 2 & 0 \\ 2 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 1 \\ -1 & 0 & 1 \\ 1 & 1 & 1 \end{pmatrix} \\ &= \frac{1}{2} \begin{pmatrix} 1 & -1 & 0 \\ -2 & 0 & 2 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} -1 & 0 & 3 \\ 1 & 0 & 3 \\ -1 & 1 & 3 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} -2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 6 \end{pmatrix} = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 3 \end{pmatrix} \\ &= \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} = \mathbf{D} \end{aligned}$$

28. $\mathbf{A} = \begin{pmatrix} 0 & 3 & 0 \\ 3 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$ of Exercise 9

By Exercise 9, the eigenvalues and eigenvectors of $\mathbf{A}$ are

$$\lambda_1 = 0, \quad \mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ -1 \end{pmatrix}; \quad \lambda_2 = 3\sqrt{2}, \quad \mathbf{x}_2 = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{2} \\ 1 \end{pmatrix}; \quad \lambda_3 = -3\sqrt{2}, \quad \mathbf{x}_3 = \frac{1}{2} \begin{pmatrix} 1 \\ -\sqrt{2} \\ 1 \end{pmatrix}$$

Then (i) $\mathbf{X} = \begin{pmatrix} 1/\sqrt{2} & 1/2 & 1/2 \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & 1/2 & 1/2 \end{pmatrix} \quad \mathbf{X}^{-1} = \begin{pmatrix} 1/\sqrt{2} & 0 & -1/\sqrt{2} \\ 1/2 & 1/\sqrt{2} & 1/2 \\ 1/2 & -1/\sqrt{2} & 1/2 \end{pmatrix}$

$$\begin{aligned} \text{(ii) } \mathbf{X}^{-1}\mathbf{A}\mathbf{X} &= \begin{pmatrix} 1/\sqrt{2} & 0 & -1/\sqrt{2} \\ 1/2 & 1/\sqrt{2} & 1/2 \\ 1/2 & -1/\sqrt{2} & 1/2 \end{pmatrix} \begin{pmatrix} 0 & 3 & 0 \\ 3 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} & 1/2 & 1/2 \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & 1/2 & 1/2 \end{pmatrix} \\ &= \begin{pmatrix} 1/\sqrt{2} & 0 & -1/\sqrt{2} \\ 1/2 & 1/\sqrt{2} & 1/2 \\ 1/2 & -1/\sqrt{2} & 1/2 \end{pmatrix} \begin{pmatrix} 0 & 3/\sqrt{2} & -3/\sqrt{2} \\ 0 & 3 & 3 \\ 0 & 3/\sqrt{2} & -3/\sqrt{2} \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 3/\sqrt{2} & 0 \\ 0 & 0 & -3/\sqrt{2} \end{pmatrix} \\ &= \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} = \mathbf{D} \end{aligned}$$

Section 19.5

Express in matrix form:

By equations (19.35) and (19.36)

29. $5x^2 - 2xy - 3y^2 = (x \ y) \begin{pmatrix} 5 & -1 \\ -1 & -3 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$

30. $4xy = (x \ y) \begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$

By equations (19.37) to (19.39) for $n = 3$,

31. $3x^2 - 4xy + 2xz - 6yz + y^2 - 2z^2 = (x \ y \ z) \begin{pmatrix} 3 & -2 & 1 \\ -2 & 1 & -3 \\ 1 & -3 & -2 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$

Transform the following quadratic forms into canonical form:

32. $7x_1^2 + 6\sqrt{3}x_1x_2 + 13x_2^2$

We have $Q = 7x_1^2 + 6\sqrt{3}x_1x_2 + 13x_2^2$

$$= (x_1 \ x_2) \begin{pmatrix} 7 & 3\sqrt{3} \\ 3\sqrt{3} & 13 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \mathbf{x}^T \mathbf{A} \mathbf{x}$$

(a) For the eigenvalues of matrix $\mathbf{A}$,

$$\begin{vmatrix} 7-\lambda & 3\sqrt{3} \\ 3\sqrt{3} & 13-\lambda \end{vmatrix} = (\lambda-4)(\lambda-16) = 0 \text{ when } \lambda = 4, 16 \rightarrow \lambda_1 = 4, \lambda_2 = 16$$

and $\mathbf{D} = \begin{pmatrix} 4 & 0 \\ 0 & 16 \end{pmatrix}$

is the diagonal matrix of the eigenvalues of $\mathbf{A}$

(b) For the eigenvectors, the secular equations are

$$\begin{aligned} (7-\lambda)x_1 + 3\sqrt{3}x_2 &= 0 \\ 3\sqrt{3}x_1 + (13-\lambda)x_2 &= 0 \end{aligned}$$

Then $\lambda = \lambda_1 = 4$: $3x_1 + 3\sqrt{3}x_2 = 0 \rightarrow x_1 = -\sqrt{3}x_2 \rightarrow \mathbf{x}_1 = \frac{1}{2} \begin{pmatrix} \sqrt{3} \\ -1 \end{pmatrix}$ (normalized)

$\lambda = \lambda_2 = 16$: $-9x_1 + 3\sqrt{3}x_2 = 0 \rightarrow x_2 = \sqrt{3}x_1 \rightarrow \mathbf{x}_2 = \frac{1}{2} \begin{pmatrix} 1 \\ \sqrt{3} \end{pmatrix}$

(c) The matrix of the eigenvectors is

$$\mathbf{X} = (\mathbf{x}_1 \ \mathbf{x}_2) = \frac{1}{2} \begin{pmatrix} \sqrt{3} & 1 \\ -1 & \sqrt{3} \end{pmatrix} \text{ with transpose } \mathbf{X}^T = \frac{1}{2} \begin{pmatrix} \sqrt{3} & -1 \\ 1 & \sqrt{3} \end{pmatrix}$$

Then

$$Q = \mathbf{y}^T \mathbf{D} \mathbf{y} = \lambda_1 y_1^2 + \lambda_2 y_2^2 = 4y_1^2 + 16y_2^2$$

where

$$\mathbf{y} = \mathbf{X}^T \mathbf{x} \rightarrow \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \sqrt{3} & -1 \\ 1 & \sqrt{3} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \rightarrow \begin{cases} y_1 = \frac{1}{2}(\sqrt{3}x_1 - x_2) \\ y_2 = \frac{1}{2}(x_1 + \sqrt{3}x_2) \end{cases}$$

33. $ax^2 + 2bxy + ay^2$

We have $Q = (x_1 \ x_2) \begin{pmatrix} a & b \\ b & a \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} = \mathbf{x}^T \mathbf{A} \mathbf{x}$

(a) For the eigenvalues of matrix $\mathbf{A}$,

$$\begin{vmatrix} a-\lambda & b \\ b & a-\lambda \end{vmatrix} = (a-\lambda)^2 - b^2 \text{ when } a-\lambda = \pm b \rightarrow \lambda_1 = a+b, \lambda_2 = a-b$$

and $\mathbf{D} = \begin{pmatrix} a+b & 0 \\ 0 & a-b \end{pmatrix}$

is the diagonal matrix of the eigenvalues of $\mathbf{A}$

(b) For the eigenvectors, the secular equations are

$$\begin{aligned} (a-\lambda)x_1 + bx_2 &= 0 \\ bx_1 + (a-\lambda)x_2 &= 0 \end{aligned}$$

Then $\lambda = \lambda_1 = a+b: -bx_1 + bx_2 = 0 \rightarrow x_1 = x_2 \rightarrow \mathbf{x}_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

$\lambda = \lambda_2 = a-b: bx_1 + bx_2 = 0 \rightarrow x_1 = -x_2 \rightarrow \mathbf{x}_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

(c) The matrix of the eigenvectors is

$$\mathbf{X} = (\mathbf{x}_1 \ \mathbf{x}_2) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \mathbf{X}^T$$

Then

$$Q = \mathbf{y}^T \mathbf{D} \mathbf{y} = \lambda_1 y_1^2 + \lambda_2 y_2^2 = (a+b)y_1^2 + (a-b)y_2^2$$

where

$$\mathbf{y} = \mathbf{X}^T \mathbf{x} \rightarrow \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \rightarrow \begin{cases} y_1 = \frac{1}{\sqrt{2}}(x_1 + x_2) \\ y_2 = \frac{1}{\sqrt{2}}(x_1 - x_2) \end{cases}$$

34. $3x_1^2 + 2x_1x_2 + 2x_1x_4 + 3x_2^2 + 2x_2x_3 + 3x_3^2 + 2x_3x_4 + 3x_4^2$

We have
$$Q = \begin{pmatrix} x_1 & x_2 & x_3 & x_4 \end{pmatrix} \begin{pmatrix} 3 & 1 & 0 & 1 \\ 1 & 3 & 1 & 0 \\ 0 & 1 & 3 & 1 \\ 1 & 0 & 1 & 3 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} = \mathbf{x}^\top \mathbf{A} \mathbf{x}$$

As in Example 19.9, with $\alpha = 3, \beta = 1$, the eigenvalues of $\mathbf{A}$ are

$$\lambda_1 = 5, \quad \lambda_2 = \lambda_3 = 3, \quad \lambda_4 = 1$$

and a set of eigenfunctions is

$$\mathbf{x}_1 = \frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}, \quad \mathbf{x}_2 = \frac{1}{2} \begin{pmatrix} 1 \\ 1 \\ -1 \\ -1 \end{pmatrix}, \quad \mathbf{x}_3 = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \\ -1 \\ 1 \end{pmatrix}, \quad \mathbf{x}_4 = \frac{1}{2} \begin{pmatrix} 1 \\ -1 \\ 1 \\ -1 \end{pmatrix}$$

The matrix of the eigenvectors is

$$\mathbf{X} = (\mathbf{x}_1 \quad \mathbf{x}_2) = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \\ 1 & -1 & 1 & -1 \end{pmatrix} = \mathbf{X}^\top$$

Then

$$Q = \lambda_1 y_1^2 + \lambda_2 y_2^2 + \lambda_3 y_3^2 + \lambda_4 y_4^2 = 5y_1^2 + 3y_2^2 + 3y_3^2 + y_4^2$$

where

$$\mathbf{y} = \mathbf{X}^\top \mathbf{x} \rightarrow \begin{pmatrix} y_1 \\ y_2 \\ y_3 \\ y_4 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \\ 1 & -1 & 1 & -1 \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{pmatrix} \rightarrow \begin{cases} y_1 = \frac{1}{2}(x_1 + x_2 + x_3 + x_4) \\ y_2 = \frac{1}{2}(x_1 + x_2 - x_3 - x_4) \\ y_3 = \frac{1}{2}(x_1 - x_2 - x_3 + x_4) \\ y_4 = \frac{1}{2}(x_1 - x_2 + x_3 - x_4) \end{cases}$$

35. Derive equations (19.44) for the components of the inertia tensor. [Hint: Expand equation (16.60), $\mathbf{l} = mr^2 \boldsymbol{\omega} - m(\mathbf{r} \cdot \boldsymbol{\omega}) \mathbf{r}$, for the angular momentum in terms of components.]

We express the terms on the right side of equation (16.60) in terms of components:

$$\begin{aligned}\boldsymbol{\omega} &= \omega_x \mathbf{i} + \omega_y \mathbf{j} + \omega_z \mathbf{k} \rightarrow mr^2 \boldsymbol{\omega} = mr^2 \omega_x \mathbf{i} + mr^2 \omega_y \mathbf{j} + mr^2 \omega_z \mathbf{k} \\ \mathbf{r} \cdot \boldsymbol{\omega} &= x\omega_x + y\omega_y + z\omega_z \rightarrow m(\mathbf{r} \cdot \boldsymbol{\omega}) \mathbf{r} = (mx\omega_x + my\omega_y + mz\omega_z)(x\mathbf{i} + y\mathbf{j} + z\mathbf{k}) \\ &= \mathbf{i} \left[mx^2 \omega_x + mxy \omega_y + mxz \omega_z \right] \\ &\quad + \mathbf{j} \left[myx \omega_x + my^2 \omega_y + myz \omega_z \right] \\ &\quad + \mathbf{k} \left[mzx \omega_x + mzy \omega_y + mz^2 \omega_z \right]\end{aligned}$$

Therefore, using $r^2 = x^2 + y^2 + z^2$,

$$\begin{aligned}\mathbf{l} &= mr^2 \boldsymbol{\omega} - m(\mathbf{r} \cdot \boldsymbol{\omega}) \mathbf{r} = mr^2 \omega_x \mathbf{i} + mr^2 \omega_y \mathbf{j} + mr^2 \omega_z \mathbf{k} \\ &\quad - \mathbf{i} \left[mx^2 \omega_x + mxy \omega_y + mxz \omega_z \right] \\ &\quad - \mathbf{j} \left[myx \omega_x + my^2 \omega_y + myz \omega_z \right] \\ &\quad - \mathbf{k} \left[mzx \omega_x + mzy \omega_y + mz^2 \omega_z \right] \\ &= \mathbf{i} \left[m(y^2 + z^2) \omega_x - mxy \omega_y - mxz \omega_z \right] \\ &\quad + \mathbf{j} \left[-myx \omega_x + m(z^2 + x^2) \omega_y - myz \omega_z \right] \\ &\quad + \mathbf{k} \left[-mzx \omega_x - mzy \omega_y + m(x^2 + y^2) \omega_z \right]\end{aligned}$$

In matrix form,

$$\begin{pmatrix} l_x \\ l_y \\ l_z \end{pmatrix} = \begin{pmatrix} m(y^2 + z^2) & -mxy & -mxz \\ -myx & m(z^2 + x^2) & -myz \\ -mzx & -mzy & m(x^2 + y^2) \end{pmatrix} \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix}$$

By equation (19.46), $\mathbf{l} = \mathbf{I} \boldsymbol{\omega}$ where the matrix $\mathbf{I}$ is the moment of inertia tensor. Therefore

$$\begin{aligned}\begin{pmatrix} l_x \\ l_y \\ l_z \end{pmatrix} &= \begin{pmatrix} I_{xx} & I_{xy} & I_{xz} \\ I_{yx} & I_{yy} & I_{yz} \\ I_{zx} & I_{zy} & I_{zz} \end{pmatrix} \begin{pmatrix} \omega_x \\ \omega_y \\ \omega_z \end{pmatrix} \\ &\rightarrow \begin{pmatrix} I_{xx} & I_{xy} & I_{xz} \\ I_{yx} & I_{yy} & I_{yz} \\ I_{zx} & I_{zy} & I_{zz} \end{pmatrix} = \begin{pmatrix} m(y^2 + z^2) & -mxy & -mxz \\ -myx & m(z^2 + x^2) & -myz \\ -mzx & -mzy & m(x^2 + y^2) \end{pmatrix}\end{aligned}$$

Section 19.6

Find the complex conjugate and Hermitian conjugate of the following matrices

36. $\begin{pmatrix} 1+i & 2-i \\ 3+i & -i \end{pmatrix}$

$$\mathbf{A} = \begin{pmatrix} 1+i & 2-i \\ 3+i & -i \end{pmatrix} \rightarrow \mathbf{A}^* = \begin{pmatrix} 1-i & 2+i \\ 3-i & i \end{pmatrix} \rightarrow \mathbf{A}^\dagger = (\mathbf{A}^*)^\top = \begin{pmatrix} 1-i & 3-i \\ 2+i & i \end{pmatrix}$$

37. $\begin{pmatrix} 2 & i \\ -i & 1 \end{pmatrix}$

$$\mathbf{A} = \begin{pmatrix} 2 & i \\ -i & 1 \end{pmatrix} \rightarrow \mathbf{A}^* = \begin{pmatrix} 2 & -i \\ i & 1 \end{pmatrix} \rightarrow \mathbf{A}^\dagger = (\mathbf{A}^*)^\top = \begin{pmatrix} 2 & i \\ -i & 1 \end{pmatrix}$$

38. $\begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}$

$$\mathbf{A} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix} \rightarrow \mathbf{A}^* = \begin{pmatrix} 0 & i & 0 \\ -i & 0 & i \\ 0 & -i & 0 \end{pmatrix} \rightarrow \mathbf{A}^\dagger = (\mathbf{A}^*)^\top = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

39. If $\mathbf{a} = \begin{pmatrix} i \\ 1 \\ -i \end{pmatrix}$ and $\mathbf{b} = \begin{pmatrix} 2i \\ 0 \\ 3 \end{pmatrix}$, find (i) $\mathbf{a}^\dagger \mathbf{a}$ (ii) $\mathbf{b}^\dagger \mathbf{b}$ (iii) $\mathbf{a}^\dagger \mathbf{b}$ (iv) $\mathbf{b}^\dagger \mathbf{a}$

(i) $\mathbf{a}^\dagger \mathbf{a} = (-i \ 1 \ i) \begin{pmatrix} i \\ 1 \\ -i \end{pmatrix} = 1+1+1=3$

(ii) $\mathbf{b}^\dagger \mathbf{b} = (-2i \ 0 \ 3) \begin{pmatrix} 2i \\ 0 \\ 3 \end{pmatrix} = 4+0+9=13$

(iii) $\mathbf{a}^\dagger \mathbf{b} = (-i \ 1 \ i) \begin{pmatrix} 2i \\ 0 \\ 3 \end{pmatrix} = 2+3i$

(iv) $\mathbf{b}^\dagger \mathbf{a} = (\mathbf{a}^\dagger \mathbf{b})^\dagger = 2-3i$

40. Which of the matrices in Exercise 36-38 are Hermitian?

$$\begin{pmatrix} 2 & i \\ -i & 1 \end{pmatrix} \text{ in Exercise 37, } \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix} \text{ in Exercise 38}$$

41. (i) Show that $\mathbf{A} = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix}$ is unitary.

(ii) Confirm that both the columns and the rows of $\mathbf{A}$ form unitary systems of vectors.

$$(i) \quad \mathbf{A} = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \rightarrow \mathbf{A}^\dagger = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \quad (= \mathbf{A})$$

$$\text{Then} \quad \mathbf{A}^\dagger \mathbf{A} = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

and $\mathbf{A}$ is unitary.

$$(ii) \quad \text{Write} \quad \mathbf{A} = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = (\mathbf{c}_1 \quad \mathbf{c}_2)$$

$$\mathbf{A}^\dagger = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = \begin{pmatrix} \mathbf{r}_1 \\ \mathbf{r}_2 \end{pmatrix}$$

$$\text{Then} \quad \mathbf{c}_1^\dagger \mathbf{c}_1 = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} \\ -i/\sqrt{2} \end{pmatrix} = \frac{1}{2} - \frac{i^2}{2} = 1$$

$$\mathbf{c}_1^\dagger \mathbf{c}_2 = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \end{pmatrix} \begin{pmatrix} i/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix} = \frac{i}{2} - \frac{i}{2} = 0$$

$$\mathbf{c}_2^\dagger \mathbf{c}_2 = \begin{pmatrix} -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} i/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix} = -\frac{i^2}{2} + \frac{1}{2} = 1$$

and the columns are orthonormal.

$$\text{For the rows, write} \quad \mathbf{A} = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \\ -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} = \begin{pmatrix} \mathbf{r}_1 \\ \mathbf{r}_2 \end{pmatrix}$$

$$\text{Then} \quad \mathbf{r}_1 \mathbf{r}_1^\dagger = \begin{pmatrix} 1/\sqrt{2} & i/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} \\ -i/\sqrt{2} \end{pmatrix} = 1$$

$$\mathbf{r}_2 \mathbf{r}_1^\dagger = \begin{pmatrix} -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} 1/\sqrt{2} \\ -i/\sqrt{2} \end{pmatrix} = 0$$

$$\mathbf{r}_2 \mathbf{r}_2^\dagger = \begin{pmatrix} -i/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} i/\sqrt{2} \\ -1/\sqrt{2} \end{pmatrix} = 1$$

and the rows are orthonormal.

42. Repeat Exercise 41 for $\mathbf{A} = \begin{pmatrix} i/\sqrt{3} & i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & -i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & 0 & -2i/\sqrt{6} \end{pmatrix}$.

$$(i) \quad \mathbf{A} = \begin{pmatrix} i/\sqrt{3} & i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & -i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & 0 & -2i/\sqrt{6} \end{pmatrix} \rightarrow \mathbf{A}^\dagger = \begin{pmatrix} -i/\sqrt{3} & -i/\sqrt{3} & -i/\sqrt{3} \\ -i/\sqrt{2} & i/\sqrt{2} & 0 \\ -i/\sqrt{6} & -i/\sqrt{6} & 2i/\sqrt{6} \end{pmatrix}$$

$$\begin{aligned} \text{Then } \mathbf{A}^\dagger \mathbf{A} &= \begin{pmatrix} i/\sqrt{3} & i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & -i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & 0 & -2i/\sqrt{6} \end{pmatrix} \begin{pmatrix} -i/\sqrt{3} & -i/\sqrt{3} & -i/\sqrt{3} \\ -i/\sqrt{2} & i/\sqrt{2} & 0 \\ -i/\sqrt{6} & -i/\sqrt{6} & 2i/\sqrt{6} \end{pmatrix} \\ &= \begin{pmatrix} 1/3+1/2+1/6 & 1/3-1/2+1/6 & 1/3-1/3 \\ 1/3-1/2+1/6 & 1/3+1/2+1/6 & 1/3-1/3 \\ 1/3-1/3 & 1/3-1/3 & 1/3+2/3 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{aligned}$$

and $\mathbf{A}$ is unitary.

$$(ii) \quad \text{For the columns, write } \mathbf{A} = \begin{pmatrix} i/\sqrt{3} & i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & -i/\sqrt{2} & i/\sqrt{6} \\ i/\sqrt{3} & 0 & -2i/\sqrt{6} \end{pmatrix} = (\mathbf{c}_1 \quad \mathbf{c}_2 \quad \mathbf{c}_3)$$

$$\text{Then } \mathbf{c}_1^\dagger \mathbf{c}_1 = \begin{pmatrix} -i/\sqrt{3} & -i/\sqrt{3} & -i/\sqrt{3} \end{pmatrix} \begin{pmatrix} i/\sqrt{3} \\ i/\sqrt{3} \\ i/\sqrt{3} \end{pmatrix} = \frac{1}{3} + \frac{1}{3} + \frac{1}{3} = 1$$

$$\mathbf{c}_1^\dagger \mathbf{c}_2 = \begin{pmatrix} -i/\sqrt{3} & -i/\sqrt{3} & -i/\sqrt{3} \end{pmatrix} \begin{pmatrix} i/\sqrt{2} \\ -i/\sqrt{2} \\ 0 \end{pmatrix} = \frac{1}{\sqrt{6}} - \frac{1}{\sqrt{6}} = 0$$

$$\mathbf{c}_1^\dagger \mathbf{c}_3 = \begin{pmatrix} -i/\sqrt{3} & -i/\sqrt{3} & -i/\sqrt{3} \end{pmatrix} \begin{pmatrix} i/\sqrt{6} \\ i/\sqrt{6} \\ -2i/\sqrt{6} \end{pmatrix} = \frac{1}{3\sqrt{2}} + \frac{1}{3\sqrt{2}} - \frac{2}{3\sqrt{2}} = 0$$

Similarly for the other pairs of columns and for the rows:

$$\mathbf{c}_2^\dagger \mathbf{c}_2 = 1, \quad \mathbf{c}_2^\dagger \mathbf{c}_3 = 0, \quad \mathbf{c}_3^\dagger \mathbf{c}_3 = 1$$

$$\mathbf{r}_1 \mathbf{r}_1^\dagger = 1, \quad \mathbf{r}_1 \mathbf{r}_2^\dagger = 0, \quad \mathbf{r}_1 \mathbf{r}_3^\dagger = 0, \quad \mathbf{r}_2 \mathbf{r}_2^\dagger = 1, \quad \mathbf{r}_2 \mathbf{r}_3^\dagger = 0, \quad \mathbf{r}_3 \mathbf{r}_3^\dagger = 1$$

(note: $\mathbf{r}_i = \mathbf{c}_1^\dagger$, $\mathbf{r}_i^\dagger = \mathbf{c}_1$)